Conflicts of Interest

Gregg Vignos	Anthony Kennedy	Frank Jacobs	John Alessio
California Bar #100423	*California Bar #32544*	*Ohio Bar #11310*	*California Bar #174900*
Pillsbury Winthrop	*Deutsche Bank*	*Eastman & Smith*	*Procopio*

- **John Stevens Sheldon (Stevens Family Trust)**: "Deepak has somewhat limited patience with individuals who struggle with the complexities of multidimensional analytical challenges."
- **Theresa Guy (Pillsbury Winthrop)**: "As members of the California Bar, we may not represent conflicting interests except with the informed written consent of all clients concerned."
- **Chief Justice Thomas Moyer (Ohio Supreme Court)**: "I would suspend Frank Jacobs for six months and stay the suspension on the condition that he commit no further misconduct during that term." (Source: *Disciplinary Counsel v. Frank Jacobs, 109 Ohio St.3d 252, 2006-Ohio-2292*.)
- **Leo Hindery Jr. (former CEO, Global Crossing Ltd.)**: "Dear Deepak, Ironically, I was just admitted last week, and it really is an honor, which I highly recommend to you. The Council would be lucky to have you, and vice versa, especially as your career is still at a relatively young stage. In other words, go for it. As for Morgan Stanley, make sure your orbit includes both Paul Taubman and Jeff Hogan, who are decent, bright and clear leaders of the next generation of the Firm. Always let me know if you are coming to New York. Kindest regards, Leo"
- **Jun Makihara (Monex Group)**: "My college roommate and best friend (Michael Cohrs) is head of Deutsche Bank's Investment Bank, of which you would be a part, but that gives me no insight into their strategy, etc. Lehman, I think, is a better overall firm, but joining Deutsche Bank might allow you more leeway to build a business . . ."
- **Michael Cohrs (Deutsche Bank London)**: "At the beginning of the meeting, Deepak was concerned why Legal, HR and Compliance have his letter to Michael Cohrs. Kimura-san explained the letter was sent to Global Head of Legal (Richard Walker) from Michael Cohrs as business is not able to make any investigation for his case, and then legal called HR for Compensation and other issue, and Compliance for FSA issue to this meeting. It is also mentioned that this case is handled as "strictly confidential" basis and no one has any knowledge about it except for Mitchell Mason (Tokyo), Henry Ritchotte (Tokyo) and relevant regional head of HR (Rachel Blanshard, Singapore), Legal (Mark Grolman, Sydney) and Compliance (Andrew Hume, Singapore)." (Michael Cohrs Exhibit 14)
- **Mark Grolman (Deutsche Bank Australia)**: "Below are draft notes of the interview I had with Frank Forelle yesterday . . . when Deepak Moorjani returns to Tokyo from the US next week, we press him again to produce all evidence he has of any alleged violations or wrongdoing . . . agree a letter to Mr. Moorjani in response to his letter. I suggest that this letter be signed by David Hatt as President and CEO of DSI (the employer of Mr. Moorjani). David Hatt has been briefed on this matter. I will draft the response letter for your review. Once agreed, that letter should be delivered to Mr. Moorjani. I also propose giving the draft notes of meetings to Frank Forelle to check for accuracy." (to Richard Walker, Deutsche Bank, New York) (Michael Cohrs Exhibit 52)
- **Julia Bates (Lucas County Prosecutor)**: "Tony Pizza was a hero. He was a tremendous mentor. He was a fierce fighter of crime. He was loving to his family. I guess his legacy is incredible. His legacy will survive as one of greatness, an advocate of victims, and truly a scholar of law." (June 20, 2007)
- **Deepak Moorjani (venture capitalist):** "In my estimation, we will announce at least $10-15 billion in write-downs related to our credit exposure in a twelve month period. For Deutsche Bank, the status quo is no longer acceptable, as these large losses have increased and will increase scrutiny into our performance. Shareholders should call for greater accountability, especially relating to Deutsche Bank management." (April 14, 2008)
- **Kerstin Kohlenberg (Die Zeit)**: "Hi Deepak, oh yes, I read them and after that went to your scribd-page and read everything that is there. What you discovered at Deutsche Bank in regards to almost 100 percent loans and the connection to bonuses is exactly what apparently happened at Hypo Real Estate (only that they offered 160 percent commercial real estate loans which in German is actually against the law. I am researching that story right now) so I am a little familiar with the topic. I just did a story about Angela Merkel and her advisers and how badly their bank-overview functions. (http://www.zeit.de/2009/15/DOS-Kanzleramt) I believe what you discovered in a heartbeat. I think your demands for better risk management and a different incentive structure need more audience - and connected with that very case that you are presenting, it would make a big, big story. I write 8000 word features (kind of like a New York Times Magazine Story) and I would very much write about you and the case you are making. There are a lot of people out there that demand change, but there is you who can show how rotten the system still is, and how the managers say one thing to the media and something else to people like you. The fact that your letter was cc'd to Angela Merkel makes it even more interesting, because I could confront her with it, and ask about her real interests in changing the system (like she said on the G20 summit). So any clue when you will be back in New York? Kerstin"
- **Deepak Moorjani (venture capitalist)**: "I would like Adult Protective Services of San Diego to investigate John Alessio (Bar No. 174900) for elder abuse. As a member of the California bar, John Alessio is currently the managing partner of Procopio, Cory, Hargreaves & Savitch, LLP ("Procopio"). John Alessio and Sunil Moorjani are former classmates at the University of San Diego Law School ('94), and John Alessio may have allowed a personal relationship to compromise his legal judgment. As a member of the California bar, John Alessio should have recognized the conflict of interest, and he should have declined to represent the defendants. The attached Notification: Suicide Risk is dated January 10, 2019, and this letter was sent via certified mail to Rosemarie Osowik, MD with a cc: to Jennifer Compton of Sun Federal, Louise Jackson of Eastman & Smith, and Sunil Moorjani. Additionally, this letter was sent via certified mail to Thomas Osowik, a judge in the Ohio Sixth District Court of Appeals."

© Deepak Moorjani

User: LKOLB | **ROSSFORD POLICE DEPARTMENT** | 03/24/23 14:42:32

Event Report

Event ID: **2023-024092** Call Ref #: 931 Date/Time Received: 03/06/23 13:00:57

Rpt #: Call Source: PHONE Prime 152 Unit: WHITMORE, TRAVIS M

Services Involved: LAW

Location: **500 RIVERSIDE DR**

X-ST: *EAGLE POINT DR* / *EAGLE POINT DR*

Jur: WCS Service: LAW Agency: ROSS

St/Beat: ROSS District: NRTH RA: 620

Business: Phone: ( ) - GP: ROSS

Nature: **SUSPICIOUS INCIDENT** Alarm Lvl: 1 Priority: 2 Medical Priority:

Caller: MOORJANI,DEEPAK Alarm:

Addr: [redacted] Phone: [redacted] Alarm Type:

Vehicle #: St: Report Only: No Race: Sex: Age:

Call Taker: NVAUGHAN Console: 5004

Geo-Verified Addr.: Yes Nature Summary Code: Disposition: COMP Close Comments:

Notes: CONTACTED 173 AND ADVISED THAT THE INCIDENT OCCURRED BETWEEN 1970 AND 1998 [03/10/23 12:16:43 JVARGAS]
SPOKE WITH CALLER AND HE ADVISED HE WANTED A REPORT REGARDING DOMESTIC VIOLENCE FOR 1970-1990. CALLER STATED FATHER USED TO BEAT MOTHER. CALLER ADVISED HE IS CURRENTLY TRYING TO REPORT ELDER ABUSE FOR HIS MOTHER SINCE HIS BROTHER WONT LET HER LIVE IN HER HOUSE IN CALIFORNIA. CALLER STATED HE WANTED A REPORT TO SHOW A PATTERN OF ABUSE. CALLER LIVES IN CALIFORNIA, MOTHER LIVES
IN CALIFORNIA, AND FATHER LIVES IN FLORIDA. I ATTEMPTED TO CALL THE MOTHER AND DID NOT GET AN ANSWER. I THEN SPOKE WITH FATHER AND HE DENIED ALL ALLEGATIONS.

NFI. [03/06/23 14:01:23 Unit:152]
REPORTING DOMESTIC VIOLENCE BETWEEN MOTHER AND FATHER THAT HAPPENED IN THE 70s 80s AND 90s. CALLER AND MOTHER ARE IN DIFFERENT CITIES IN CALIFORNIA. FATHER IS IN FLORIDA. SEEKING REPORT AT THE ADVICE OF LEGAL COUNSEL FOR A PROTECTIVE ORDER. [03/06/23 13:04:55 NVAUGHAN]

Times

		Time From Call Received				
Call Received:	03/06/23 13:00:57					
Call Routed:	03/06/23 13:04:55	000:03:58		Unit Reaction:	: :	*(1st Dispatch to 1st Arrive)*
Call Take Finished:	03/06/23 13:04:55	000:03:58		En-Route:	: :	*(1st Dispatch to 1st En-Route)*
1st Dispatch:	03/06/23 13:06:18	000:05:21	*(Time Held)*	On-Scene:	: :	*(1st Arrive to Last Clear)*
1st En-Route:		: :				
1st Arrive:		: :	*(Reaction Time)*			
Last Clear:	03/06/23 14:02:13	001:01:16				

Radio Log

Unit	Empl ID	Type	Description	Time Stamp	Comments	Close Code	User
152	*450914*	D	Dispatched	03/06/23 13:06:18	Stat/Beat: ROSS		NVAUG
152	*450914*	C	Cleared	03/06/23 14:02:13	COMP [COMP]	COMP	JVARGA

Event Log

Unit	Empl ID	Type	Description	Time Stamp	Comments	Close Code	User
		TR	Time Received	03/06/23 13:00:57	By: PHONE		NVAUG
		ENT	Entered Street	03/06/23 13:01:18	500 RIVERSIDE DR		NVAUG

Event ID: 2023-024092 Call Ref #: 931 **SUSPICIOUS INCIDENT at 500 RIVERSIDE DR**

Event Log

Unit	Empl ID	Type	Description	Time Stamp	Comments	Close Code	User
		ENT	Entered	03/06/23 13:01:36	MOORJANI,TEEPAK		NVAUG
		ENT	Entered CallerPhone	03/06/23 13:01:44	[redacted]		NVAUG
		ENT	Entered Nature	03/06/23 13:01:50	DOMESTIC VIOLENCE		NVAUG
		CHG	Changed Nature	03/06/23 13:02:09	DOMESTIC VIOLENCE --> CIVIL		NVAUG
		VCH	Viewed Call History	03/06/23 13:03:07	Location Information		NVAUG
		ENT	Entered Remarks	03/06/23 13:04:55			NVAUG
		FIN	Finished Call Taking	03/06/23 13:04:55			NVAUG
		CHG	Changed Nature	03/06/23 13:05:29	CIVIL DISPUTE / COMPLAINT -->		NVAUG
		VEV	Viewed Event	03/06/23 13:06:13	User First Viewed Event CAD		JFLAGE
		VEV	Viewed Event	03/06/23 13:07:10	User First Viewed Event CAD		SSHAWV
		ARM	Added Remarks	03/06/23 14:01:23			Unit:152
		CHG	Changed	03/10/23 12:15:48	MOORJANI,TEEPAK -->		JVARGA
		ARM	Added Remarks	03/10/23 12:16:43			JVARGA